



Responsible use of polygenic risk scores in the clinic: potential benefits, risks and gaps

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Polygenic risk scores (PRSs) aggregate the many small effects of alleles across the human genome to estimate the risk of a disease or disease-related trait for an individual. The potential benefits of PRSs include cost-effective enhancement of primary disease prevention, more refined diagnoses and improved precision when prescribing medicines. However, these must be weighed against the potential risks, such as uncertainties and biases in PRS performance, as well as potential misunderstanding and misuse of these within medical practice and in wider society. By addressing key issues including gaps in best practices, risk communication and regulatory frameworks, PRSs can be used responsibly to improve human health. Here, the International Common Disease Alliance's PRS Task Force, a multidisciplinary group comprising expertise in genetics, law, ethics, behavioral science and more, highlights recent research to provide a comprehensive summary of the state of polygenic score research, as well as the needs and challenges as PRSs move closer to widespread use in the clinic.

PRSs provide an estimate of an individual's germline genetic risk for a specific disease or trait, and recent studies have shown that they may have clinical utility in a variety of settings. Although not diagnostic per se, PRSs generally provide information that can be used to enhance or guide, but not replace, risk prediction models and diagnostic pathways. In essence, apart from being based on an individual's germline genome, a PRS may be treated as any other risk predictor. Because of recent advances in PRS research, it is timely to consider how to appropriately and responsibly use these scores in the clinic and in society.

The International Common Disease Alliance (ICDA) aims to improve prevention, diagnosis and treatment of common diseases across the world, in part through understanding how genetics can be leveraged to improve health. There is a spectrum of potential benefits that the use of PRSs could have in research, clinical care, clinical-trial design and public health. There are also known risks and limitations of PRSs, and gaps in knowledge related to their use, highlighting the need for additional research and debate to ensure responsible use. To this end, the ICDA has established the PRS Task Force, which has initially focused on the potential use of PRSs in clinical care and population health while also recognizing their potential utility to enhance efficacy of clinical trials.

The PRS Task Force interprets 'responsible use' as use of a PRS where there are clear benefits that outweigh risks, and where effort is taken towards a goal of equitable benefit for all. The potential benefits and risks remain incompletely quantified at present but will vary by clinical context, healthcare system, and population. Ideally, all people have equal opportunity to benefit from PRSs, and it is important that researchers and healthcare professionals (HCPs) are supported to enable this. Equitable opportunity is not just about known issues for PRS development, for example differences in PRS performance for individuals of different ancestries, but also the real-world impact PRSs will ultimately have and on whom.

In working toward responsible use, a prerequisite is to understand the gaps in knowledge that prevent responsible use, as well as potential risks and benefits. Academic discourse can initiate the gathering of new evidence or development of best practices, which are needed to ensure responsible use. In this Perspective, we therefore

outline the Task Force's understanding of the current state of knowledge regarding benefits, risks and gaps regarding PRS, and provide an overview of key objectives (Table 1) in order to maximize responsible use of PRS in clinical settings.

Benefits

PRSs have the potential to enhance disease risk prediction¹ and diagnostic refinement; predict progression and recurrence of disease; deploy precision therapeutics; and improve the efficiency of population-level screening. Furthermore, a single genetic test per individual (US\$35 for a genome-wide array with automated bioinformatics) provides raw genetic information that could be used to generate many PRSs (for example for heart disease, diabetes, or breast cancer) based on approaches that exist now, or that could be developed in the future from existing genetic data.

Disease risk prediction. PRSs are constructed on the basis of inherited genetic variation, which is set at conception, and can therefore be utilized earlier in life than can many lifestyle, age-related, and other non-genetic risk factors. PRSs provide the opportunity to estimate risk trajectories across a lifetime, rather than for 5 or 10 years, as is the case for most clinical risk scores. Importantly, PRSs often capture risk that is substantially independent of and thus complementary to traditional risk factors and clinical risk scores. Furthermore, elevated genetic risk can be associated with earlier onset of disease, even in the absence of traditional risk factors. Thus, PRSs hold the potential to improve the accuracy of both early and targeted primary prevention, particularly for chronic diseases that develop over decades.

Multiple studies of coronary artery disease (CAD) show that disease-prediction algorithms that jointly model the effects of clinical risk factors and PRSs perform better than do those that consider only clinical risk factors²⁻⁶. Thus, adding CAD PRSs to existing screening protocols and prevention strategies may more accurately identify individuals at high risk of developing disease. Particularly for cardiovascular disease, PRSs facilitate lifetime risk prediction beyond current models that predict the 5- to 10-year risk, which are typically optimized for middle-aged individuals.

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Table 1 | Future objectives for responsible use of PRSs by communities, researchers, and clinicians

	Short term (present–5 years)	Long term (>5 years)
Establish benefits	Determine clinical utility for diagnostic refinement, risk prediction	Adopt standards within professional societies that make risk information from PRSs actionable
	Quantify cost effectiveness for specific-use cases and across health systems	Create internationally federated informatic platform for implementation of PRSs to standardize data workflows and clinical pathways
	Complement clinical-based lifestyle recommendations	
Mitigate risks	Incorporate context and cultural competence into return of PRSs	Minimize stigmas related to PRSs via broad, persistent public engagement
	Improve ancestral representation to decrease existing disparities	Monitor and enforce accountability of the use of PRS to support racist and eugenic ideologies
	Ameliorate societal risk with interdisciplinary expertise and antidiscrimination regulations	
Close gaps	Advance analytic methods and study design	Educate medical students and HCPs-in-training in application and bioethics
	Focus on equity and inclusion	Promote translation and build research capacity in low-middle-income countries or other settings that lack resources
	Train specialists and public stakeholders	
	Enable translational applicability and HCP communication	
	Develop clear, flexible, and interoperable regulatory frameworks	

There is also growing evidence that PRSs substantially improve disease risk estimates in people who carry high-impact disease-causing genetic variants (for example, for familial hypercholesterolemia (FH)⁷ or breast cancer⁸). As such, an elevated polygenic risk score may augment the risk conferred by a high-impact mutation, or a protective polygenic risk score may compensate for the pathogenic mutation and bring the individual's risk closer to the population average⁷. However, it should be noted that providing PRSs based on common variants, but not considering or testing for rare high-impact variants, may give a substantially incomplete risk estimate for individuals, especially those with a family history (of breast cancer, for example).

While most evidence suggests clinical utility may be maximal when PRSs are combined with non-genetic risk factors, there is also evidence that PRSs alone may have utility for those with extremely high polygenic scores. For example, persons in the top 8% of a CAD PRS distribution have a risk comparable to that of those with a monogenic familial hypercholesterolemia mutation⁶, whereas

women in the top 10% of a distribution of breast cancer PRSs have a 30% lifetime risk of breast cancer, comparable to the risk of those with pathogenic mutations in the *CHEK2* and *ATM* genes⁸. On the basis of equivalent risk principles, it can be argued that an individual with a PRS-based risk that is similar to a monogenic risk should qualify for a similar level of preventative therapies.

The clinical benefit of utilizing PRSs for disease risk prediction also depends on the availability of preventive interventions and/or medicines. For example, while CAD PRS improves risk stratification for future cardiovascular disease, individuals with high clinical risk factors and an elevated CAD PRS may derive more benefit (an increased reduction in risk) from statin treatment than would individuals at low polygenic risk^{9,10}. On the basis of cross-sectional studies, a favorable lifestyle appears to compensate for the increased risk of a high CAD PRS¹¹. Given that the practical implications for disease prevention will be disease specific, it is clear that further studies are warranted to elucidate the proper mode of prevention for each disease and any relevant subgroups.

Diagnostic refinement. PRSs may improve diagnosis accuracy. For example, clinical differentiation between type 1 and type 2 diabetes (T1D and T2D, respectively) can be complex because the presenting symptoms are similar and laboratory results often overlap. Diagnostic accuracy is currently imperfect; improved diagnosis can influence treatment plans (for example, whether insulin is prescribed) and improve outcomes (for example, reduced risk of diabetic ketoacidosis)¹². Further, recent evidence suggests that approximately 40% of individuals who develop T1D during their lifetime present with symptoms after the age of 30 years¹³. A PRS for differentiating T1D and T2D achieved reasonably high predictive capacity — while not a metric of clinical utility, the area under the receiver operator curve (AUROC; a composite of sensitivity and specificity with maximum value of 1.0) was 0.88. When integrated with other clinical risk factors, the resulting model achieved an improved AUROC of 0.96 (ref. ¹⁴). T1D PRSs have shown further promise in prioritizing newborns for autoantibody screening¹⁵ and as part of integrated models to predict disease prior to symptom onset, which may help prevent T1D and complications throughout early childhood¹⁶.

Diagnostic refinements using PRSs have also been evaluated for other autoimmune diseases. A celiac disease PRS improves upon HLA typing alone^{17–19}, and pilot clinical studies indicate improved effectiveness and cost-efficiency for celiac diagnosis, potentially reducing invasive diagnostic procedures²⁰. For juvenile idiopathic arthritis and its subtypes, PRS may substantially improve upon clinical diagnosis, potentially reducing long waiting periods for diagnosis and treatment²¹. Furthermore, a PRS for ankylosing spondylitis has been shown to have high diagnostic capacity (AUROCs of 0.92 and 0.94 in European and East Asian ancestries, respectively) and potential clinical utility for earlier and cost-effective diagnosis if combined with magnetic resonance imaging²².

Slowing disease progression and recurrence. Recent studies have assessed the potential clinical utility of PRSs for slowing disease progression and recurrence, and reducing the need for deployment of new (sometimes costly) therapeutics. Among those with acute coronary syndrome and elevated lipids who were treated with optimized statin treatment, a high CAD PRS was associated with elevated risk for recurrent cardiovascular events as well as larger absolute and relative risk reduction with recently developed PCSK9 inhibitors^{23,24}. Similarly, a high T2D PRS has been associated with earlier disease onset, increased risk of progression to an insulin-dependent stage, and a low response to glucose-lowering drugs²⁵. PRS screening could identify individuals at a preclinical stage of T2D to allow earlier control of glycemia and identify personalized treatments. This could motivate a regime of diet and exercise to potentially avoid pharmacologic interventions to manage T2D²⁶.

Prompting risk-reducing behaviors. PRS information could motivate risk-reducing health behavior, for example by prompting initiation of medication, screening, or lifestyle changes²⁷. Although not focused on PRSs specifically, research on inherited cancer syndromes has shown improved screening adherence following disclosure of genetic test results²⁸. Additionally, a recent study suggested that providing people with personal genetic results about obesity risk can alter cardiorespiratory and satiety physiologies, including perceived exertion and running endurance during exercise and perceived fullness after food consumption²⁹.

There is still limited data on whether disclosure of PRS information motivates health behavior changes across a spectrum of common diseases, but emerging evidence suggests a potentially beneficial behavioral impact for CAD risk. Studies of disclosure of CAD PRSs found increased perception of personal control and increased information seeking³⁰, favorable health behaviors³¹, and increased shared decision-making resulting in more statin prescriptions³². Nonetheless, given that multiple factors besides the disclosure of genetic risk can impact health behaviors²⁹, future disease risk communication strategies should carefully consider the relative and combined effects of all relevant types of information.

Improving population screening. The purpose of population-level screening is to identify individuals at sufficiently elevated risk of disease that they would benefit from intervention. However, a key barrier to population-level screening is that the pretest probability of any single individual in the population having the disease is low, and the number of false positives resulting from screening can be very high. In addition, the vast majority of individuals completing population-level screening are told that their risk of disease is too low to warrant an intervention; thus, most expenditures in screening programs lead to no change in clinical care.

Despite these in-built inefficiencies, population-level screening could be improved in several ways using PRSs. For example, PRSs may improve the identification of individuals who would benefit from inclusion in screening intervention programs, the timing of screening initiation, the frequency of screening, and/or the tools (for example, non-genetic clinical risk scores) used as part of screening. We provide three examples of screening strategies utilizing PRS.

While osteoporosis screening has rarely been implemented at the population level, recent trials have demonstrated a reduction in hip fracture rates by screening for older women at risk, predominantly using assessments of bone mineral density. However, most women are deemed to be at insufficient risk to merit intervention after screening. By applying a PRS to screen individuals at risk for low bone density (the main metric for therapeutic interventions), the number of people requiring bone density evaluations may be reduced by ~40%, with high sensitivity (~93%) and specificity (~98%) to identify those requiring clinical care³³.

For breast cancer, PRSs can be used to more accurately quantify 10-year risk. For women aged 40–50 years with an unknown family history of disease, the average population risk of breast cancer is 1.7%. Using questionnaire-based risk factors and mammographic density, the BOADICEA risk prediction algorithm identifies 9.2% of the women in the population who would be classified at moderate or high risk of developing breast cancer (based on the UK's National Institutes of Clinical and Healthcare Excellence (NICE) guidelines³⁴). A breast cancer PRS alone identifies 10%. As such, a PRS for breast cancer risk could be used to optimize screening initiation and the frequency of mammograms. An integrated model with PRS, questionnaire-based risk factors, and mammographic density identifies 13% of women with a moderate or high risk. BOADICEA v5 (as implemented in the CanRisk tool) already implements a 313-variant PRS and currently supports hundreds of thousands of women, doctors, and genetic counselors annually in >90 countries making treatment decisions^{34,35}. PRS-guided mammographic

screening is also being tested in the WISDOM and PERSPECTIVE I&I studies^{36,37}.

The benefits of a CAD PRS could be sufficient to justify an update to population-level screening. By adding PRSs to existing risk prediction models, multiple large studies have shown improved individual risk reclassification across a population, and thus may improve targeted therapeutic interventions (for example, statins^{3,38}). PRS-guided, lipid-lowering treatment, particularly for those at intermediate risk, has shown promise in decreasing cardiovascular disease events^{2,39,40}. With a safe, effective and inexpensive preventative therapeutic, screening strategies for cardiovascular disease that consider PRS and conventional risk factors jointly (for example in a primary care population of at least 40 years of age³⁹) or that take a 2-stage approach (screening first with PRS then with conventional risk factors, or vice versa^{40,41}) appear to robustly provide clinical benefit; however, further refinement regarding whom and when to treat is still necessary.

Risks

Despite the potential, and in some cases demonstrated, benefits of PRS there are potential risks to both individual patients and the general population from clinical use of PRSs, which should be acknowledged and mitigated⁴².

Risks arising from 'incorrect' information. If a PRS is used as a standalone tool, a key risk relates to delivering substantially incorrect risk estimates to the individual. 'False positive' results (for example, wrongly categorizing an individual as 'high risk' on the basis of their PRS) could lead to inappropriate clinical actions and unnecessary emotional harm. The clinical implications of a substantially incorrect polygenic score are dependent on disease severity, the relative contribution of non-genetic risk factors, and the cost or harm of recommended or missed interventions^{42–44}. It is important to emphasize to individuals that PRSs are estimates with a level of uncertainty around them that could affect risk stratification owing to statistical imprecision⁴⁵ and the use of discrete cut-offs⁴⁶. Notably, these concerns regarding incorrect or imprecise risk estimates are the same for all risk factors and are not specific to PRS.

PRSs are also susceptible to the same biases as other prediction models in that their performance (whether classification accuracy or short-term or long-term prediction) can be substantially attenuated if the individual is not adequately represented by the original study population. A major source of error for individuals of non-European ancestries is the lack of representation in genotyped cohort studies. As with many areas of medical research, the majority of genetic research has been conducted in people of European ancestry (~88% of participants in published GWAS^{47,48} to date), which often leads to reduced predictive performance for PRS in individuals from other ancestries^{49–51}. PRS performance can vary widely in admixed individuals⁴⁹, or for other demographic groups by age and sex⁵². These differences could in turn exacerbate existing demographic disparities in access to healthcare and clinical outcomes⁵³.

Inequities in performance of biomarkers and interventions across demographic characteristics are pervasive in medicine. Examples include glomerular filtration rate estimation across ethnicities and interventions for chronic kidney disease (such as renal transplantation); risk prediction for atherosclerotic cardiovascular disease and adverse side effects of statins in Black patients; and body mass index thresholds and risk of diabetes in Asian individuals^{54,55}. While some tolerance of differential performance is necessary, how much should be tolerated is an important question which must consider a wide range of issues, including specific clinical context, healthcare system and economics, as well as ethics and the ramifications of withholding or modifying the performance/treatment.

Risks arising from ‘correct’ information. Risks remain for PRS based on ‘correct’ information — that which is informative, well-calibrated, and minimally biased. These risks are primarily related to the communication of the PRS information to the individual, and require careful consideration as they may be incorrectly conflated with return of monogenic results, which are more diagnostic in nature. Risks include failure to convey the uncertainty in the estimate, and to deliver timely counsel regarding approaches to reduce overall risk (not just that attributable to the PRS). Improper risk communication may result in physical or financial harm from unnecessary lifestyle or clinical interventions, as well as unwarranted negative psychosocial effects such as anxiety or depression⁵⁶.

In the United States, the current standard for ethical return of monogenic results requires healthcare professionals trained in genetics (for example, genetic counselors), typically working together with a physician who is an expert in preventing, screening for, or treating the disease under discussion. This approach typically involves genetic counseling before and after the genetic test, followed by a physician visit. For population-level screening, it is not feasible to scale this process for the return of PRS results to many individuals for many diseases, particularly because genetic counselors are in short supply in many countries^{57,58}. However, there are existing models for successful large-scale return of genomic results in the primary care setting⁵⁹, even when those HCPs report average levels of genetics training and comfort with genetic information⁶⁰.

Communication of PRS results to patients or their primary care physicians are being trialed using a wide variety of formats, including indicating the individual’s position on a bell curve, their percentile, and categorical risks (for example ‘slightly increased risk’). For individuals from diverse ancestries and cultures, researchers are only just beginning to investigate which display formats optimize comprehension of PRSs⁴⁵.

The majority of studies to date have found little evidence of lasting negative psychosocial effects of providing monogenic results to individuals who choose to receive them⁶¹. However, a few studies have found negative effects; in one, informing participants of the *APOE* genotype for risk of Alzheimer’s disease impacted their objective and subjective performance on subsequent memory tests⁶². Although there is a relatively large body of literature on the psychosocial effects of returning monogenic results to patients and families in clinical settings, the research assessing the impact of PRSs on individuals is still in the very early stages. This is understandable given the relatively nascent stage of PRS discovery research compared with research into rare high-penetrance variants, but it is vital that these translational studies are now conducted given the potentially widespread use of PRSs in the near future. At present, little is known about the potential harms of PRSs, such as anxiety, stress, or misunderstanding, and about how these harms can be best avoided via careful communication and delivery of the results and appropriate support before and after.

Mitigating societal risks. PRSs are becoming more widely available for a broad range of common conditions, which strengthens the case for stronger protections against genetic discrimination. History has shown that marginalized groups are especially vulnerable to both racism and genetic discrimination, as exemplified by mandatory sickle-cell screening in the United States in the 1970s^{63,64}. In that case, discriminatory practices denied education opportunities, employment, and insurance on the basis of carrier status — which primarily affected individuals of African ancestries⁶⁴. This and other historical injustices have been reported as causes of hesitancy in undergoing predictive genetic testing for African Americans⁶⁵. Failure to strengthen and enforce antidiscrimination regulations is particularly pertinent as we seek to increase research participation from underrepresented groups^{63,66}, who may be suspicious of medical research or healthcare more generally⁶⁷.

Without appropriate communication of the uncertainty around PRS estimates, large-scale deployment of PRSs could potentially reinforce and amplify false genetic-determinism attitudes. If healthcare professionals adopt these attitudes, it may influence what type of care will be offered to whom. Widespread and irresponsible use of PRS risks may systematically downplay the role of the environment in an individual’s health. Not only would this be inaccurate, but it could potentially offset the work that has been done to highlight social determinants of health and work against interventions that help eliminate health disparities⁶⁸. Ultimately, best practices for PRS delivery will need to be done in close consultation with behavioral and social scientists so that both the social and genetic determinants of health, and their respective interventions, are considered.

Human genetic information, and the language of geneticists themselves, can be easily misunderstood by the public and cause harm⁶⁹. A particularly concerning risk for minority groups is the comparison of PRS distributions between populations (including ancestries). Any difference in mean value of a PRS between populations could be used in a potentially racist or sexist attempt to explain observed group differences in health outcomes, behaviors, wealth and other traits. Such inferences would be both harmful and incorrect because differences in mean PRS value between populations are typically due to allele-frequency differences and biases in the genetic discovery data, and thus unrelated to differences in phenotype⁷⁰.

The availability and ease of developing PRS may also lead to inappropriate use. For example, some companies are offering PRSs for embryo selection of nonclinical traits under the rationale that PRSs are used in medicine⁷¹. However, the clinical value of using PRSs for embryo selection is likely to be limited⁷¹, and the ethics of parents selecting nonclinical traits or incompletely understood clinical traits in offspring is ethically dubious⁷².

Direct-to-consumer companies make genetic tests available to anyone who submits a sample, and they may also return PRS results for a wider variety of diseases and phenotypes. The mode of communication may be via email or web portal, and may have only limited or no capacity to offer genetic counseling. Traits that are behavioral or have a stigma attached may be particularly distressing to the consumer⁷³. For preventable diseases, follow-up with a physician may be less likely to happen than when results are returned in a clinical setting. For diseases with no available intervention, the potential for psychosocial stress or harm must be considered, and the potential benefits (family planning or altered life goals) weighted against the stresses of receiving the result.

Gaps

Deployment of PRS holds both promises and risks, which may improve or detract from patient and population health. However, even for diseases with a large potential benefit and minimal risk of clinical PRS application, consistent and equitable implementation must remain a priority. Prior to large-scale deployment, there are gaps in PRS research that need to be filled for there to be confidence that PRSs will be used responsibly.

Polygenic risk score development and evaluation. PRS development typically involves selecting a set of genetic variants and corresponding weights, then testing the constructed PRS performance in an independent dataset. Reporting of PRSs and their resultant performance in external datasets has been historically lacking and inconsistent⁷⁴. Data sharing is critical to PRS development, in particular full genome-wide association study (GWAS) summary statistics that underpin the selection and weighting of genetic variants for a particular trait. Comprehensive databases of GWAS summary statistics, such as the pioneering NHGRI-EBI GWAS Catalog⁷⁵, are widely utilized by the community but still only a minority of published GWAS share their full summary statistics⁷⁶. This is a critical gap that hampers the development, robustness, and generalizability

of PRSs. The GWAS research community, global biobank collaborations, and private direct-to-consumer companies should require public sharing of summary statistics, and utilize standardized formats, to avoid exacerbating global health disparities⁶⁶.

As noted above, some PRSs have reduced performance in people of non-European ancestries, which may exacerbate health inequities^{66,77}. Patients of non-European ancestries with breast cancer are offered less genetic testing, and breast cancer PRSs are frequently relevant for women of European ancestry only⁷⁸. The historic focus of cohort studies, and medical research more broadly, on people of European ancestry is a key factor in this bias, and the lack of study recruitment of people of non-European ancestries together with that of corresponding genomic and health data is a critical gap. For GWASs and thus PRSs to represent people of non-European ancestries⁶⁶, we must prioritize resources for recruitment of and data generation for individuals of African, Asian, Indigenous, and other underrepresented ancestries in both wealthy and low-middle-income countries. So far, there are positive signs that human genetics and polygenic score research in particular are working to address ancestry biases, including large-scale diverse cohort recruitment and sharing of ancestry-specific GWAS summary statistics. We hope these continue and intensify to the point where PRSs are a model for other epidemiological and medical research areas where ethnic and ancestral diversity still lags.

Beyond current ancestry biases, there remain gaps in study design and analysis for PRSs. Cryptic substructure within a population or within an ancestry group, potentially related to geography or participation bias, may induce inaccuracies in PRS^{79,80}. If these differences are related to confounders, such as differences in social environment or gene–environment interactions, then care is needed to ensure PRS performance estimates are accurate and fit to inform clinical practice. Multi-morbidity structures and correlations among PRSs also should be considered. Methods to determine PRSs vary in multiple ways; there is a need for clarity on the optimal number of variants to use, how to utilize ancestry information⁸¹, how to incorporate high-impact rare variants⁸², and reliable metrics for selecting the best-performing PRS. Recent analyses have shown that improved imputation reference panels, fine-mapping procedures, and GWASs that include even a small number of participants of non-European ancestries can ameliorate differential PRS performance^{83,84}. The centralization of well-documented PRS studies, as well as free and open provision of PRS models (genetic variants and weights), for example via the Polygenic Score Catalog⁸⁵, are also vital. Further improvements will enable comprehensive PRS performance comparisons and will increase the transparency and reproducibility of and public trust in PRS.

Gaps in translation. Although there is largely a consensus that PRS should be used alongside other informative non-genetic risk factors, gaps remain in determining precisely how this should be done. Even once comprehensive models are constructed (whether joint or two-stage), it is not yet clear how best to communicate individual PRSs from laboratories and bioinformatics teams to HCPs, patients and research participants, although work towards this is ongoing by eMERGE Network investigators⁸⁶, Our Future Health⁸⁷, and many others. There are particular gaps in best practices regarding results reports for patients. Notably, there is wide diversity and no standards or agreement for clinical reports that include PRSs⁸⁸.

There are gaps regarding how HCPs interpret and adjust clinical decisions with additional PRS information. There is some evidence to suggest that the use of PRS influence HCPs' behavior in terms of clinical recommendations and prescribing, but this is largely limited to a handful of disease areas, most notably cardiovascular disease⁸⁹. Very few clinical guidelines support HCPs in helping patients make informed choices or shared decisions about their healthcare on the basis of PRS results. For example, in England, HCPs have clear

guidelines provided by NICE on strategies for patients with cardiovascular disease risk greater than the 10-year risk threshold of 10%⁹⁰ (or 7.5% in the United States). However, what should the HCP recommend if a patient has high risk on the basis of a PRS alone? What are the potential risks of stigmatization or discrimination, particularly if early in life? What are the implications of parents having this information for their children early in life (prior to the child giving informed consent)? Additionally, effective counseling should take into account cultural beliefs⁹¹ and other social factors (for example, access to risk-reducing interventions). Training programs for genetic counselors and HCPs may need to be adapted to appropriately cover PRS-derived risk estimates for common diseases.

Finally, it is unclear whether the use of PRS in specific health-care systems will be cost-effective if the benefits outweigh the risks. Although the technology needed to generate PRSs (genome-wide genotyping array) is relatively inexpensive, other costs associated with deployment of PRS at scale (for example, genetic counseling time, or training and educational resources for other HCPs) may not be. Early intervention and corresponding healthcare cost reductions are especially important in resource-challenged settings around the world. Addressing this translational gap is a priority that will require studies that consider both economic factors and health-care management that vary across clinical settings and regions.

Regulation of polygenic risk scores. PRSs need a process for demonstrating and refining clinical utility; preferably, this would be dynamic, adaptive, and mainly focused on using real-world data. An ideal regulatory approach would allow for PRSs to be updated as the science evolves.

Existing regulatory frameworks ensure medical devices that are brought to market are safe and effective by evaluating their quality, effectiveness, accuracy, and safety; the same must be done for PRS. The timelines, costs, supporting documentation, and rigor under which medical devices are evaluated depend on the assigned risk class⁹², yet the rapid pace of software tool development (which may encompass PRSs) makes it difficult to determine regulatory needs, timing, and terms⁹³. Current regulations recognize that software used for 'medical purposes' can, if certain conditions are met, be deemed and regulated as Software as a Medical Device (SaMD), for example those used for diagnosis, treatment, or prevention of disease^{94,95}. In some jurisdictions, risk prediction models and PRSs have expanded the definition of medical purposes to also include prediction, monitoring, and screening⁹⁶.

With PRS research rapidly iterating between basic and clinical, and subsequent clinical validity and utility constantly evolving, the scientific and technical limitations complicate their current definition within the regulatory frameworks⁴⁴. Likewise, the use of PRSs for medical purposes is currently uncertain under most legislation⁴⁴.

This uncertainty is exacerbated because, despite increasing efforts⁹⁷, medical device regulatory frameworks are not internationally harmonized. The regulatory processes (requirements, costs, timelines, risk classes) as well as their applicability to the specific device vary across jurisdictions. The International Medical Device Regulators Forum SaMD guidelines provide inclusion and exclusion criteria and examine the significance of the information provided by the software for health decisions as well as the seriousness of the healthcare condition for which the software is intended^{96,98–101}. Yet, there is significant variation in definitions and examples provided in guidelines. Although legal classifications are not settled, Canada¹⁰², for example, seems to exclude PRSs from the definition of a medical device; however, the United States could consider them as falling outside the technical definition of clinical-decision support tools and oversee them as medical devices. The European Union SaMD guidelines, on the other hand, focus on the specific intended uses, examples of software excluded from regulation, and whether it is standalone software or an accessory to an *in vitro*

medical device. In the EU, PRSs could be an accessory SaMD depending on the accuracy with which they can predict the risk of developing a medical condition. In fact, the BOADICEA risk prediction model itself, which incorporates the use of a PRS, carries a CE (Conformité Européenne) marking as a medical device in the EU¹⁰³. Where PRSs are not regulated as a SaMD, they would be considered non-device clinical decision support tools. Manufacturers in this case are not obligated to comply with any of the medical device regulations but are encouraged to follow best practices of validation and quality assurance. Efforts are also needed in other regions of the world outside of the EU and North America regarding regulations to anticipate future implementation of PRS in a globally equitable way.

The costs of complying with medical device regulations are likely an important but unknown factor for implementation, access, or use of PRS. These costs will be higher than those associated with following best practices, and high costs may create inequitable access between populations, countries, and subgroups within countries. Furthermore, improving the clinical utility and validity of PRS greatly depends on global collaboration. Burdensome or uncertain regulations can hinder this collaboration by discouraging, complicating, or increasing the costs³⁷. Hence, it is crucial to address regulatory uncertainty and strike a balance between ensuring safety, improving health, and equitable use.

Conclusions

When estimating clinical risk, HCPs typically consider age, sex, ethnicity/ancestry, past medical history, family history, and biomarkers. Incorporating genomic risk information, which can be generated for hundreds of diseases with one DNA test, would mean these risk estimates could be more personalized, more accurate, and utilized earlier in life. Although many risk reduction strategies (for example, healthy diet, exercise, reduced consumption of alcohol and tobacco) are most effective when applied to the whole population, some strategies are not suitable for population-level intervention owing to factors like financial cost and adverse treatment effects. Some strategies (for example, statin use) should be prioritized for high-risk individuals for preventive interventions to effectively balance risk, benefit, and cost. Furthermore, genetically informed clinical tools can enhance diagnosis of subtypes of disease, predict progression and recurrence, and potentially guide treatment regimes. Early results suggest that genetic risk information may prompt patients to make behavioral changes to reduce their disease risk.

There are also risks of PRS deployment that should be considered. Patients or physicians may misunderstand the uncertainty in a PRS-informed risk estimate. Individuals with non-European ancestry may have inaccurate risk estimates due to a relative lack of large prospective cohorts with genomic data from these ancestries, potentially exacerbating inequities in healthcare. We advocate for effective and clear risk communication by trained professionals to minimize potential psychosocial effects.

As noted above, a current example of a PRS in clinical use is the 313-variant PRS for breast cancer¹⁰⁴ implemented as part of the multifactorial BOADICEA/CanRisk tool³⁴, which itself carries CE marking for use in the European Economic Area. BOADICEA/CanRisk is part of a first wave of PRSs moving into clinical practice, and it signifies the urgency of the clinical and research communities to develop responsible use frameworks more broadly across many clinical pathways.

Although many inequities in access to healthcare are evident across nations as well as demographic and socioeconomic groups, PRSs do also have the potential to improve equitable access to preventive care, hopefully serving as a model which aligns with and stimulates other equity initiatives in medicine. The International Common Disease Alliance's PRS Task Force will continue to support research enabling the responsible and equitable use of PRSs for the betterment of human health. We look forward to working with

cognate groups worldwide to ensure that medical insights from the human genome, exemplified by PRSs, are effective, transparent and available to all.

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Author contributions

Introduction: A.C.F.L. Benefits: J.B.R., A.C.S., E.W., A.Z. Risks: A.A., D.D., K.K., M.N.M., L.R., G.L.W. Gaps: S.F., P.G.M., C.J.H., B.M.K., M.K., A.R.M., Y.O., S.C.S., R.A.V. Conclusions: M.I.M., S.R., C.N.R. Scientific administration: M.K.B. Co-Chairs: M.I., C.J.W.

Competing interests

The spouse of C.J.W. works at Regeneron Pharmaceuticals. J.B.R. has served as an advisor to GlaxoSmithKline and Deerfield Capital. His institution has received investigator-initiated grant funding from Eli Lilly, GlaxoSmithKline, and Biogen for projects unrelated to this research. He is the founder of 5 Prime Sciences. M.M. is an employee of Genentech and a holder of Roche stock.

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Polygenic Risk Score Task Force of the International Common Disease Alliance

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